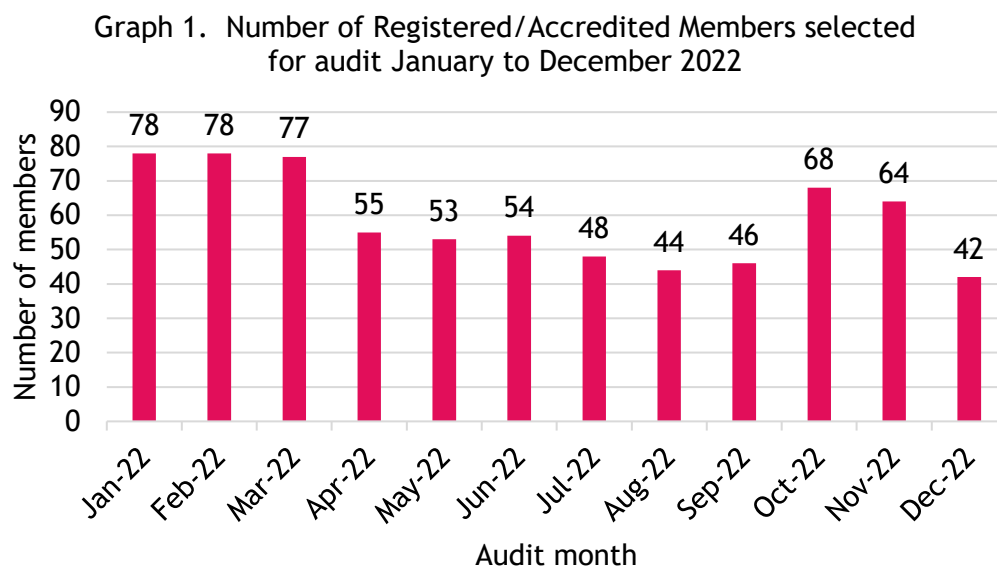


Audit Data Report 2022

Members who have been on the Register for over a year are eligible to be audited for continuing professional development, supervision and indemnity insurance, to check they are meeting the terms and conditions of registration. Those who have been previously selected will not be selected again at their next membership renewal. This also includes members new to the Register.

Members are asked to participate in the audit process in advance of their renewal month, where a six week timeframe is given for members to submit their documents. In each month we select 1.5% of members who are due for renewal. In 2022, 707 members were asked to take part in the Register audit.

Graph 1 shows the number of members who were selected for audit from January to December 2022.



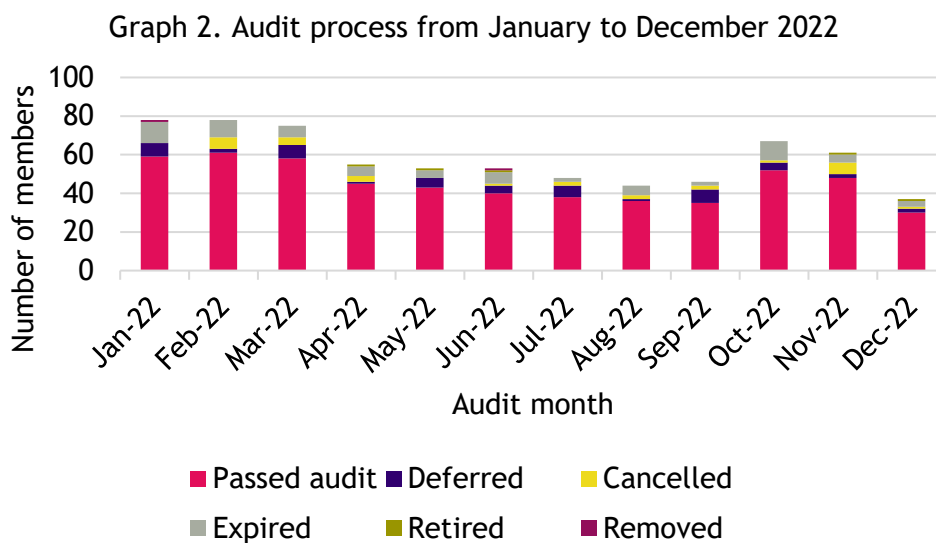
Members of the Register team complete a pre-assessment check to ensure that all of the required information has been provided. For instance, members may be asked to provide an amended CPD record if this was completed for the incorrect timeframe. Some members may be asked for further information in relation to their supervision if there were gaps in their record. The audit then continues to full assessment.

Of those who submitted their audit, 8 members were issued with a letter of advice in the period from January to December 2022. This process is in place when there is evidence that there may have been a low impact breach to the register terms and conditions that was minor or technical. Advice is given to avoid the likelihood of repetition and members are recalled for audit within the following 3 years. In 2022, the letters of advice issued were particularly related to indemnity insurance.

Some members who were selected for audit could not complete the audit process at that time due to personal circumstances, for instance bereavement. In these cases, their audit was deferred for 12 months.

Members who moved to the retired category or whose membership had been cancelled or had lapsed were not required to complete the audit process. Members who did not engage with the audit process following multiple communications, such as emails, telephone calls and letters, were eventually removed from the register.

Graph 2 shows audit process from January to December 2022.

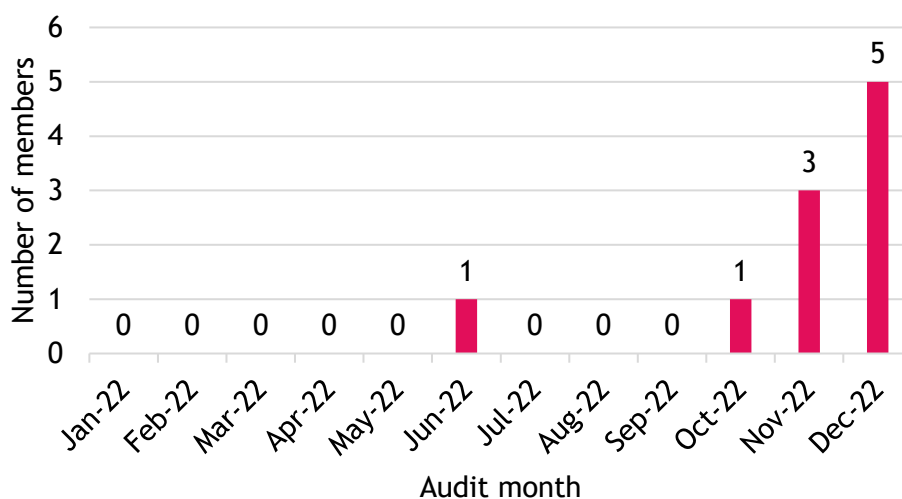


Audit process from January to December 2022 - text version:

Audit Month	Passed audit	Deferred	Cancelled	Expired	Retired	Removed
Jan-22	59	7	0	11		1
Feb-22	61	2	6	9		
Mar-22	58	7	4	6		
Apr-22	45	1	3	5	1	
May-22	43	5	0	4	1	
Jun-22	40	4	1	6	1	1
Jul-22	38	6	2	2		
Aug-22	36	1	2	5		
Sep-22	35	7	2	2		
Oct-22	52	4	1	10		
Nov-22	48	2	6	4	1	
Dec-22	30	2	1	3	1	

Graph 3 shows the number of audit tasks remaining from January to December 2022.

Graph 3. Total number of pending audit tasks 2022



Currently, there are a number of pending audits from 2022. These are mostly submissions received that are awaiting additional information from members, prior to full assessment. Where members find the audit process difficult then we will support them and are in regular contact with them to help in completing the audit process.